MAGNA SKILLS DEVELOPMENT INSTITUTE

Capacity Building • Professional Training • Organisational Development

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Course Profile Document

Banking and Financial Services

Risk-Based Internal Audit And Compliance

Prepared as a professional course profile for delegate review, sponsorship approval and organisational training planning.

COURSE CODE	DELIVERY	DURATION	PREPARED FOR
MSD2803	**Online / Face-to-Face**	**Flexible**	**Organisation Approval**

Register for this Course

View Online Course Page

Course Overview

Magna Skills is delighted to present the Risk-Based Internal Audit and Compliance short course. This program is designed for professionals seeking to enhance their skills in conducting risk-based internal audits and ensuring compliance with regulatory requirements. Participants will gain practical insights into risk assessment methodologies, audit planning, and effective compliance management.

Course Outcomes

Upon completion of the course, participants will:

1. **Understanding Risk-Based Internal Auditing:**
   - Develop a comprehensive understanding of risk-based internal audit methodologies.
   - Learn to integrate risk assessment into the internal audit process.
2. **Audit Planning and Execution:**
   - Develop skills in audit planning and execution based on identified risks.
   - Understand the role of risk in determining the scope and focus of internal audits.
3.

Compliance Management:

- Gain insights into regulatory compliance requirements relevant to various industries.
- Learn strategies for ensuring effective compliance within an organization.

4. **Internal Controls and Risk Mitigation:**
   - Understand the importance of internal controls in risk mitigation.
   - Develop strategies for strengthening internal controls based on audit findings.
5. **Risk Reporting and Communication:**
   - Learn effective methods for reporting audit findings and risk assessments.
   - Develop communication skills to convey risk-related information to stakeholders.
6. **Continuous Improvement in Internal Audit and Compliance:**
   - Explore methods for continuous improvement in internal audit and compliance functions.
   - Understand the role of feedback and monitoring in enhancing audit effectiveness.

Course Outline / Curriculum

Module 1: Understanding Risk-Based Internal Auditing

- Overview of risk-based internal audit methodologies
- Integration of risk assessment into the internal audit process

Module 2: Audit Planning and Execution

- Skills in audit planning based on identified risks
- Role of risk in determining audit scope and focus

Module 3: Compliance Management

- Regulatory compliance requirements across industries
- Strategies for ensuring effective compliance within an organization

Module 4: Internal Controls and Risk Mitigation

- Importance of internal controls in risk mitigation
- Strategies for strengthening internal controls based on audit findings

Module 5: Risk Reporting and Communication

- Methods for reporting audit findings and risk assessments
- Communication skills for conveying risk-related information to stakeholders

Module 6: Continuous Improvement in Internal Audit and Compliance

- Methods for continuous improvement in internal audit and compliance functions
- Role of feedback and monitoring in enhancing audit effectiveness

Who Can Attend: This course is suitable for internal auditors, compliance officers, risk managers, and individuals responsible for ensuring organizational adherence to regulations, including but not limited to:

- Internal Auditors
- Compliance Officers
- Risk Managers
- Audit Managers
- Finance and Accounting Professionals

Target Audience

Delegates with some experience in auditing, junior auditors or professionals from finance or other departments looking to develop a focused and best practices approach and techniques to the internal audit function, and supervisors and managers who are interested in updating, upgrading, and refreshing their knowledge in internal audit.

Key Course Benefits

Work-Ready Skills

Delegates leave with practical tools, templates and methods they can apply immediately at work.

Better Institutional Results

The programme supports stronger planning, reporting, compliance, accountability and service delivery.

Sponsor-Friendly

This document is designed to help supervisors, HR units and sponsors approve delegate participation quickly.

Professional Recognition

Delegates receive training documentation and a certificate of completion after successful participation.

Our Training Centres & Delivery Options

Magna Skills offers flexible delivery through face-to-face training centres across Africa and beyond, plus Online / E-Learning for delegates who prefer remote participation.

Southern Africa

Practical training destinations with strong travel access and delegate support.

- Pretoria, South Africa
- Vic Falls, Zimbabwe
- Livingstone, Zambia

East Africa

Popular regional centres for government, NGO and donor-funded project teams.

- Kigali, Rwanda
- Kampala, Uganda
- Nairob
- Zanzibar, Tanzania

West Africa & Islands

Strategic locations for regional networking and executive capacity building.

- Accra, Ghana
- Port Louis, Mauritius

International Executive Venue

Premium destination training for senior teams and international delegates.

- Dubai, United Arab Emirates

Online / E-Learning

Attend from anywhere through live online, blended or self-paced learning options.

- Online, E-Learning
- Remote Teams
- Flexib

Organisation-Based Training

Magna Skills can also arrange dedicated in-house training for ministries, NGOs and companies.

- Onsite
- Custom Dates
- Group Training

Ready to Nominate Delegates?

Use the links below to register, review the live course page or contact Magna Skills for organisation-based training support.

Register / Apply Online | **View Full Course Page**

About Magna Skills

Magna Skills Development Institute provides practical capacity building programmes for government departments, NGOs, public institutions, donor-funded projects and private sector professionals across Africa. Our training approach combines expert facilitation, real workplace case studies, practical tools, post-training support and professional documentation that helps organisations strengthen staff performance and service delivery.

Government Training | NGO Capacity Building | Corporate Workshops | Online Learning | Face-to-Face Training | Certifica

Approval & Authorisation Form

This section may be completed by the organisation, department, HR office, finance office or sponsor approving delegate participation. It can be attached to an internal memo, procurement request or training approval submission.

Organisation / Department	
Delegate Name(s)	
Approved Course	Risk-Based Internal Audit And Compliance
Preferred Delivery Mode	☐ Online ☐ Face-to-Face ☐ Organisation-Based Training
Preferred Training Venue / Date	
Estimated Number of Delegates	
Budget / Vote Number	
Contact Person	
Email / Mobile	

Authorised Name _____ Signature / Stamp _____ Date _____